

Controlled - Uncontrolled Heatmap Report

Controlled Profile

		Impact			
		Minor	Significant	Serious	Major
Likelihood	Very Likely	GREEN	AMBER SRR0073 Service delivery EC0049 Failure by third party to deliver value for money goods & services CAA0090 Inadequate Budget Provision in Adult Social Care CAA0076 Failure to meet central government policy commitments	RED	RED
	Likely	GREEN	AMBER CR0094 Poor Employee relations	AMBER ECCP0003 Business continuity/data recovery CAC0057 School Places CAA0093 Failure by third party to deliver value for money goods & services DMIA0001 Data security - inappropriate access, corruption or loss of data	RED
	Unlikely	GREEN	GREEN	AMBER CRC/AI0011 Failure to guard against material fraud or misuse of resources PP0008 Equality Act 2010 CRC0044 Budget HS0012 Legislative and/or regulatory breaches CRB/ID0016 Ineffective ICT	AMBER CAC0055 Safeguarding Children CAA0092 Safeguarding Adults
	Remote	GREEN	GREEN	GREEN	GREEN

Uncontrolled Profile

Likelihood	Very Likely	GREEN	AMBER	RED CAA0076 Failure to meet central government policy commitments HS0012 Legislative and/or regulatory breaches	RED SRR0073 Service delivery EC0049 Failure by third party to deliver value for money goods & services CAA0090 Inadequate Budget Provision in Adult Social Care CRC0044 Budget CRB/ID0016 Ineffective ICT
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	Unlikely	GREEN	GREEN	AMBER	AMBER
	Remote	GREEN	GREEN	GREEN	GREEN
		Minor	Significant	Serious	Major
		Impact			

Detailed Risk Information

Controlled Rating	Uncontrolled Rating	Risk Ref	Details
9	12	ECCP0003	Ineffective business continuity arrangements resulting in an inability to provide 'business as usual' services.
9	12	CAC0057	Insufficient funding to pay for a school building programme to address an increased birth rate resulting in insufficient school places.
9	12	CAA0093	Key supplier failure puts delivery of social care by councils contracted providers at risk
9	12	DMIA0001	Failure to comply with the statutory requirements of DPA (1998) leading to complaints, enforcement notices, prosecution and adverse publicity.
8	16	SRR0073	Implementation of the Welfare Reform Act (WRA) 2012 results in a negative impact on Council services, finances and reputation.
8	16	EC0049	Environmental Service Contract (ESC) poor performance results in a negative impact on Council finances and reputation.
8	16	CAA0090	Unavoidable rise in demand for Adult Social Care resulting in a shortfall in the budget coupled with Council's 25% saving over 2015-18
8	12	CAC0055	Failure in Children's Safeguarding and Support Services resulting in death or serious injury to a child in the care of Ealing Council.
8	12	CAA0092	Risk of Serious harm or abuse to Vulnerable Adults resulting in death or serious injury to an adult whilst in the care of Ealing Council.
8	12	CAA0076	Failure to attract sufficient BCF funding results in a major impediment to Health and Social Care integration and delay in implementation of the Care Act.
6	9	CRC/AI0011	Lack of robust systems of internal control leading to the the inability to detect and prevent internal / external fraud resulting in a negative impact on Council finances.
6	12	PP0008	Failure to comply with the Equality Act 2010 resulting in challenge to service changes and potential fines/penalties.
6	16	CRC0044	Budget proposals fail to deliver the required savings resulting from ongoing reductions in Government funding, impacting on the ability to meet increasing service demands.
6	12	HS0012	Failure to manage Ealing owned and Ealing staff/service occupied property compliance could lead to unsafe premises for staff, residents, service users and visitors and result in enforcement action against the Council.
6	9	CR0094	Inability to attract or retain staff with the right skills, experience and capabilities to deliver the Council's vision and corporate outcomes.
6	16	CRB/ID0016	The council suffers thousands of cyber-attacks per year. These mainly emanate from Russia, North Korea, China, Philippines and USA but all parts of the world are represented.