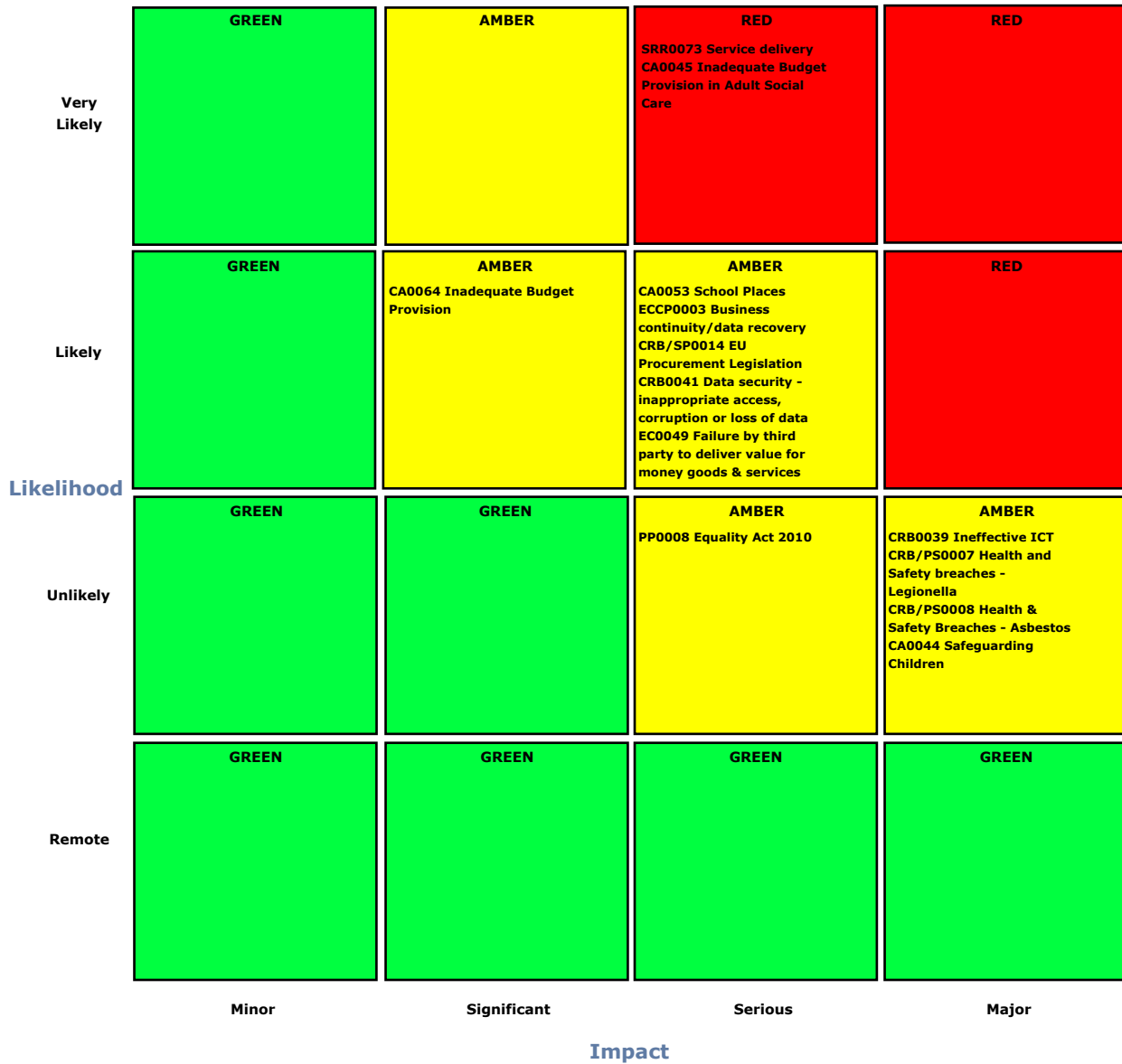


Controlled - Uncontrolled Heatmap Report

Controlled Profile



Uncontrolled Profile

		Impact			
		Minor	Significant	Serious	Major
Likelihood	Very Likely	GREEN	AMBER	RED ECCP0003 Business continuity/data recovery PP0008 Equality Act 2010 CRB0041 Data security - inappropriate access, corruption or loss of data	RED SRR0073 Service delivery CA0064 Inadequate Budget Provision CRB/PS0007 Health and Safety breaches - Legionella CRB/PS0008 Health & Safety Breaches - Asbestos CA0045 Inadequate Budget Provision in Adult Social Care
	Likely	GREEN	AMBER	AMBER	RED CRB0039 Ineffective ICT CA0053 School Places CRB/SP0014 EU Procurement Legislation CA0044 Safeguarding Children CRC/AI0011 Failure to guard against material fraud or misuse of resources
	Unlikely	GREEN	GREEN	AMBER	AMBER
	Remote	GREEN	GREEN	GREEN	GREEN

Detailed Risk Information

Controlled Rating	Uncontrolled Rating	Risk Ref	Details	Assigned To	Review Date
12	16	SRR0073	Implementation of the Welfare Reform Act 2012 results in a negative impact on Council services, finances and reputation.	Keith Townsend	05/04/2013
12	16	CA0045	Unavoidable rise in demand for Adult Social Care resulting in a shortfall in the budget coupled with Council's 25% saving over 2011-14	David Archibald	08/04/2013
9	12	CA0053	Insufficient funding to pay for a school building programme to address an increased birth rate of 32% resulting in insufficient school places.	David Archibald	07/04/2013
9	12	ECCP0003	Ineffective business continuity arrangements resulting in an inability to provide 'business as usual' services.	Chris Begley	08/04/2013
9	12	CRB/SP0014	Failure to comply with EU Procurement regulations, Cabinet Office returns or Council constitution may impact on reputation or have financial consequences through the risk of challenge.	Kate Graefe	08/06/2013
9	12	CRB0041	Failure to comply with the statutory requirements of DPA (1998) leading to complaints, enforcement notices, prosecution and adverse publicity.	Mark Newton	16/07/2013
9	12	EC0049	Service deterioration during mobilisation of new contract with Enterprise resulting in a negative impact on Council reputation.	Keith Townsend	08/04/2013
9	16	CA0063	Key supplier failure puts delivery of social care by councils contracted providers at risk	Stephen Day	08/04/2013
8	12	CRB0039	IT infrastructure resulting in failure of business applications and loss of key Council data.	Mark Newton	16/05/2013
8	16	CRB/PS0007	Failure to manage Cross Council risks associated with Legionella resulting in H&S breached and possible litigation.	Roger Amos	05/05/2013
8	16	CRB/PS0008	Failure to manage Asbestos across the Council resulting in non compliance with the 'Control of Asbestos Regulations 2006' and possible litigation.	Roger Amos	05/05/2013
8	12	CA0044	Failure in Children's Safeguarding and Support Services resulting in death or serious injury to a child in the care of Ealing Council.	David Archibald	09/04/2013
8	12	CRC/AI0011	Inability to detect internal/external fraud resulting in a negative impact on Council finances.	Sudhi Pathak	14/06/2013
8	12	CA0062	Risk of Serious harm or abuse to Vulnerable Adults resulting in death or serious injury to an adult whilst in the care of Ealing Council.	Stephen Day	08/04/2013
6	16	CA0064	Unavoidable rise in demand for Children's Social Care due to an increase in the Birth rate (32%) and of Looked after Children coupled with Council's savings of 25% over 2011-14.	Judith Finlay	08/04/2013
6	12	PP0008	Failure to comply with the Equality Act 2010 resulting in challenge to service changes and potential fines/penalties.	Matthew Booth	07/04/2013