



**Ealing Borough Council  
Town Hall  
New Broadway  
London W5 2BY**

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## Audit Committee

**Venue:** Committee Room 2, Town Hall, New Broadway, W5 2BY  
**Date and Time:** Tuesday, 29 November 2016 at 19:00

**MEMBERSHIP:** Councillors: Murtagh (Chair), Khan (Vice-Chair), Seema Kumar, Murray, Sabiers, and Conrad Bryan (Independent Member).

## AGENDA

**Open to Public and Press**

- 1. Apologies for Absence**
- 2. Urgent Matters**  
The Treasury Advisers CAPITA will conduct training on Treasury Management for members from 6.30-7.00pm
- 3. Matters to be Considered in Private**  
Items 7 Appendix 4 and Item 11 Appendices A and C contain information that is exempt from disclosure by virtue of Paragraph 3 of Part 1 of Schedule 12A of the Local Government Act 1972.
- 4. Declarations of Interest**  
To note any declarations of interest made by members

<b>5.</b>	<b>Minutes</b>	
	To approve as a correct record the minutes of the meeting held on 28 July 2016.	
	<b>2 - Audit Committee Minutes 28th July 2016</b>	<b>5 - 12</b>
<b>6.</b>	<b>Matters Arising from the Minutes</b>	
	(Not appearing on the agenda)	
<b>7.</b>	<b>Item 7 - Treasury Management Mid-Year Update 2016-17</b>	<b>13 - 28</b>
	<b>Item 7 Appendix 1 - Investment in LA</b>	<b>29 - 30</b>
	<b>Item 7 Appendix 2- Prudential Indicators Mid Year Outturn 1617</b>	<b>31 - 32</b>
	<b>Item 7 Appendix 3 - Overall Treasury Position</b>	<b>33 - 36</b>
	<b>Item 7 Appendix 4 - CONFIDENTIAL Lending List</b>	
	<ul style="list-style-type: none"> <li>• Information relating to the financial or business affairs of any particular person (including the authority holding that information);</li> </ul>	
<b>8.</b>	<b>Item 8 Annual Audit Letter Audit Progress Update KPMG</b>	<b>37 - 40</b>
	<b>Item 8 Appendix 1</b>	<b>41 - 48</b>
	<b>Item 8 Appendix 2</b>	<b>49 - 50</b>
	<b>Item 8 Appendix 3</b>	<b>51 - 54</b>
<b>9.</b>	<b>Item 09 Changes to the appointment of external auditors</b>	<b>55 - 62</b>
<b>10.</b>	<b>Item 10 Quarter 2 Audit Report</b>	<b>63 - 74</b>
	<b>Item 10 Appendix A - Assurance Mapping</b>	<b>75 - 86</b>
	<b>Item 10 Appendix B - Changes to Audit Plan</b>	<b>87 - 92</b>

**11. Item 11 Q2 Risk Management Performance and Report 93 - 100**

**Item 11 Appendix A CONFIDENTIAL Strategic Risk Register**

- Information relating to the financial or business affairs of any particular person (including the authority holding that information);

**Item 11 Appendix B Q2 Risk Heatmap 101 - 104**

**Item 11 Appendix C CONFIDENTIAL Risk Management Policy & Framework Version 1.1 Oct 2016**

- Information relating to the financial or business affairs of any particular person (including the authority holding that information);

**12. Date of Next Meeting**

The next meeting will be held on 28 February 2016 at 7.00pm

**Paul Najsarek, Chief Executive, 21 November 2016**