

## Controlled Profile



# Uncontrolled Profile

	Likelihood				
	Negligible	Minor	Moderate	Major	Extreme
Very Likely	GREEN	AMBER	AMBER CHR0002	RED ISR0006 ISR0009	RED ISR0001 ISR0002 ISR0003 ISR0004 ISR0007 ISR0008
Likely	GREEN	AMBER	AMBER ESR0003	RED ISR0005	RED ISR0010 ISR0011 ISR0012 ISR0013 ISR0014 ESR0001 TSR0001
Possible	GREEN	GREEN	AMBER	AMBER	RED
Unlikely	GREEN	GREEN	GREEN ESR0002	AMBER	AMBER
Rare	GREEN	GREEN	GREEN	GREEN	AMBER
	Impact				
	Negligible	Minor	Moderate	Major	Extreme

## Detailed Risk Information

	Controlled Rating	Uncontrolled Rating	Risk Ref	Details
1	20	30	ISR0001	Reduction in central government grant and changes to Business Rates, including the 2017 revaluation, appeals changes and future retention plans, results in a significant reduction in the amount of Grant and Business Rate income receivable that has not been planned for.
2	20	30	ISR0008	Demographical and government policy changes are causing increasing and unavoidable service demands which can lead to severe budgetary pressures and significant overspends which consequently need to be addressed by corporate funding.
3	20	25	ESR0001	
4	12	25	TSR0001	Failure to meet statutory obligations under Education Act 1996 S14 (1) to secure sufficient Secondary School Places in the Borough.
5	16	25	ISR0010	Inability to provide critical council services in the event of a significant disruption.
6	15	24	ISR0009	Breach of statutory information legislation or Ealing information policies leading to complaints, loss of information, adverse publicity and potential enforcement or fines
7	15	25	ISR0012	Delivery of council services severely disrupted as a result of the failure of, or poor performance by, a key contracted provider.
8	12	30	ISR0004	Death, serious injury or abuse of a child or vulnerable adult in the care of Ealing Council.
9	12	24	ISR0006	Persons within the Borough become radicalised and are attracted to recognised terrorist organisations and/or the Borough is affected by terrorist activities.
10	12	30	ISR0007	Technological advancements provide the opportunity for greater automation of routine Council processes but increase the possibility of successful and sustained cyber-attacks resulting in an inability to function, possible loss of data and/or fraud.
11	12	25	ISR0013	Breach of Health & Safety legislation in relation to staff/service occupied, leased, tenanted or vacant Council properties that could lead to: <ul style="list-style-type: none"> <li>• injury or death of staff, residents, service users or visitors;</li> <li>• damage to property; and</li> <li>• enforcement action against the Council.</li> </ul>
12	12	30	ISR0002	The Council has not implemented effective policies or strategies to address local issues and conditions that have a long-term detrimental impact on the environment within the Borough, eg. air pollution; flooding, carbon footprint.
13	12	30	ISR0003	Creating the right conditions for economic growth is not balanced by the creation of mitigating strategies to counter the negative consequences of that growth, eg. rising house prices and their negative effect on affordable housing.
14	12	25	ISR0014	A reduction in the supply and lack of availability of affordable housing in the Borough as a result of the implementation of the Welfare Reform Act 2012 and the Housing and Planning Act 2016.
15	9	20	ISR0005	Failure to fulfil the Council's obligations under the Equality Act 2010 leads to legal challenges to service changes, the incurring of legal fees, potential fines or penalties and reputational damage.
16	9	25	ISR0011	A failure to provide appropriate support to residents, businesses, visitors or staff, in the event of a major incident, as a result of ineffective emergency response arrangements.
17	15	18	CHR0002	Inability to attract or retain staff with the right skills, experience and capabilities to deliver the council's vision and Future Ealing outcomes.
18	10	15	ESR0003	The UK continues to delay departure from the EU. <ul style="list-style-type: none"> <li>- Further delay may result in further economic stagnation as investment is curtailed until the method of leaving the EU is known. Whilst this would pose some challenges the immediate risks to service delivery are lower.</li> </ul>
19	6	9	ESR0002	The UK leaves the EU with a negotiated settlement including agreed exit terms, trade framework and transition period with continuation of trade talks – effectively means no change until end of 2020 with a number of options after that.). The affects on the council would be less significant than with a 'no deal' and any negative effects would manifest over time making them easier to manage.