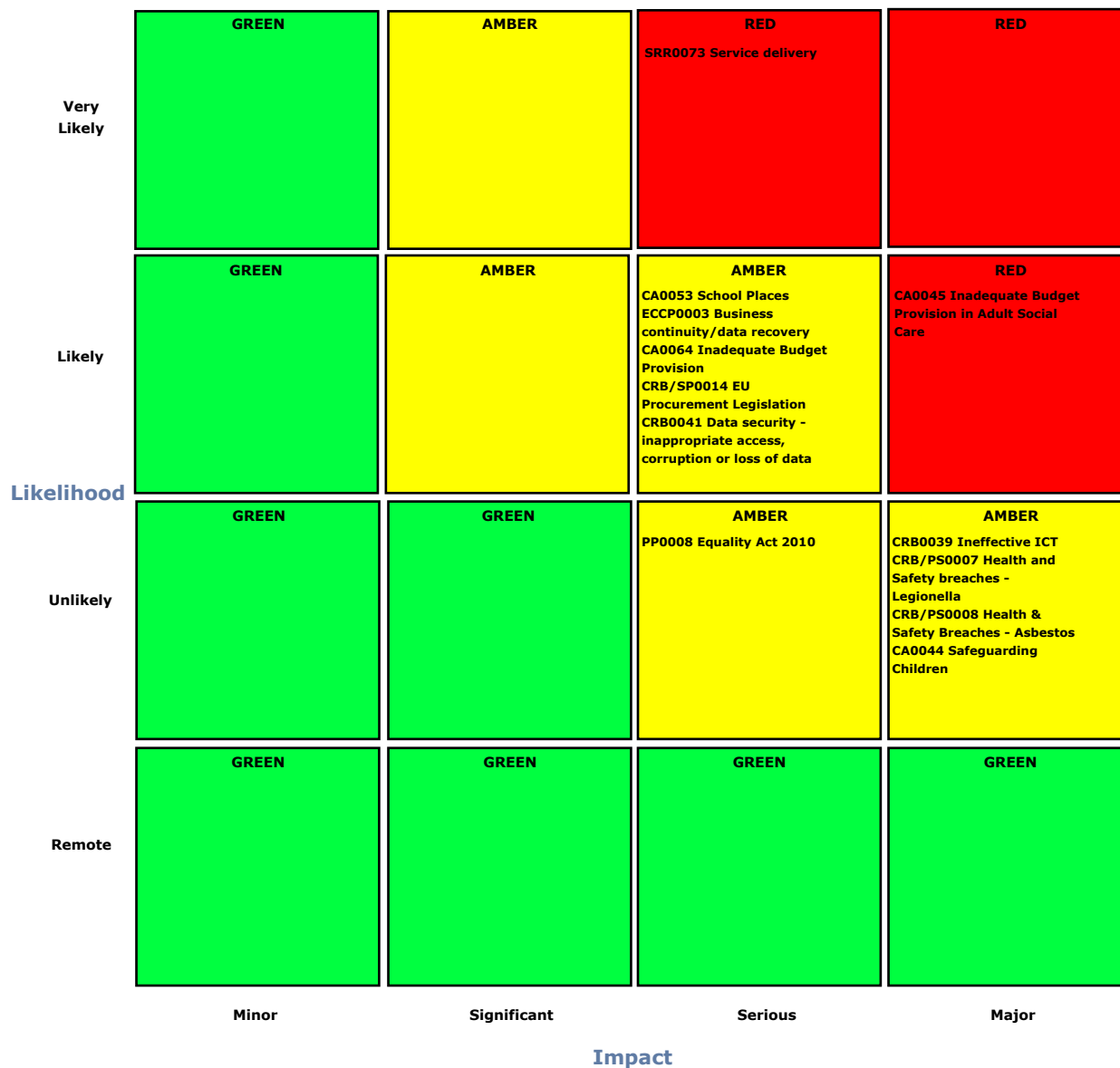


# Controlled - Uncontrolled Heatmap Report

## Controlled Profile



# Uncontrolled Profile

		Impact			
		Minor	Significant	Serious	Major
Likelihood	Very Likely	GREEN	AMBER	RED ECCP0003 Business continuity/data recovery PP0008 Equality Act 2010 CRB0041 Data security - inappropriate access, corruption or loss of data	RED SRR0073 Service delivery CA0064 Inadequate Budget Provision CRB/PS0007 Health and Safety breaches - Legionella CRB/PS0008 Health & Safety Breaches - Asbestos CA0045 Inadequate Budget Provision in Adult Social Care
	Likely	GREEN	AMBER	AMBER	RED CRB0039 Ineffective ICT CA0053 School Places CRB/SP0014 EU Procurement Legislation CA0044 Safeguarding Children CRC/AI0011 Failure to guard against material fraud or misuse of resources
	Unlikely	GREEN	GREEN	AMBER	AMBER
	Remote	GREEN	GREEN	GREEN	GREEN

## Detailed Risk Information

Controlled Rating	Uncontrolled Rating	Risk Ref	Details	Assigned To	Review Date
12	16	SRR0073	Implementation of the Welfare Reform Act 2012 results in a negative impact on Council services, finances and reputation.	Keith Townsend	05/04/2013
12	16	CA0045	Unavoidable rise in demand for Adult Social Care resulting in a shortfall in the budget coupled with Council's 25% saving over 2011-14	David Archibald	14/07/2013
9	12	CA0053	Insufficient funding to pay for a school building programme to address an increased birth rate of 32% resulting in insufficient school places.	David Archibald	14/09/2013
9	12	ECCP0003	Ineffective business continuity arrangements resulting in an inability to provide 'business as usual' services.	Donna Wootton	31/10/2013
9	16	CA0064	Unavoidable rise in demand for Children's Social Care due to an increase in the Birth rate (32%) and of Looked after Children coupled with Council's savings of 25% over 2011-14.	Judith Finlay	14/09/2013
9	12	CRB/SP0014	Failure to comply with EU Procurement regulations, Cabinet Office returns or Council constitution may impact on reputation or have financial consequences through the risk of challenge.	Kate Graefe	11/09/2013
9	12	CRB0041	Failure to comply with the statutory requirements of DPA (1998) leading to complaints, enforcement notices, prosecution and adverse publicity.	Mark Newton	25/10/2013
9	12	EC0049	Environmental Service contract performance resulting in a negative impact on Council reputation.	Keith Townsend	30/10/2013
9	16	CA0063	Key supplier failure puts delivery of social care by councils contracted providers at risk	Stephen Day	14/09/2013
8	12	CRB0039	IT infrastructure resulting in failure of business applications and loss of key Council data.	Mark Newton	15/08/2013
8	16	CRB/PS0007	Failure to manage Cross Council risks associated with Legionella resulting in H&S breached and possible litigation.	Roger Amos	24/08/2013
8	16	CRB/PS0008	Failure to manage Asbestos across the Council resulting in non compliance with the 'Control of Asbestos Regulations 2006' and possible litigation.	Roger Amos	24/08/2013
8	12	CA0044	Failure in Children's Safeguarding and Support Services resulting in death or serious injury to a child in the care of Ealing Council.	David Archibald	14/09/2013
8	12	CRC/AI0011	Inability to detect internal/external fraud resulting in a negative impact on Council finances.	John Allsop	17/09/2013
8	12	CA0062	Risk of Serious harm or abuse to Vulnerable Adults resulting in death or serious injury to an adult whilst in the care of Ealing Council.	Stephen Day	14/09/2013
6	12	PP0008	Failure to comply with the Equality Act 2010 resulting in challenge to service changes and potential fines/penalties.	Matthew Booth	20/08/2013