

# Controlled - Uncontrolled Heatmap Report

## Controlled Profile

		Impact			
		Minor	Significant	Serious	Major
Likelihood	Very Likely	GREEN	<b>AMBER</b> EC0049 Failure by third party to deliver value for money goods & services CAA0076 Failure to meet central government policy commitments	<b>RED</b> SRR0073 Service delivery CAA0090 Inadequate Budget Provision in Adult Social Care	<b>RED</b>
	Likely	GREEN	<b>AMBER</b> CRB/SP0014 EU Procurement Legislation	<b>AMBER</b> ECCP0003 Business continuity/data recovery HS0011 Legislative and/or regulatory breaches CAC0057 School Places CAA0093 Failure by third party to deliver value for money goods & services DMIA0001 Data security - inappropriate access, corruption or loss of data	<b>RED</b>
	Unlikely	GREEN	GREEN	<b>AMBER</b> PP0008 Equality Act 2010	<b>AMBER</b> CRC/AI0011 Failure to guard against material fraud or misuse of resources CAC0055 Safeguarding Children CAA0092 Safeguarding Adults
	Remote	GREEN	GREEN	GREEN	GREEN

# Uncontrolled Profile

Likelihood	Very Likely	GREEN	AMBER	RED CAA0076 Failure to meet central government policy commitments	RED SRR0073 Service delivery EC0049 Failure by third party to deliver value for money goods & services CAA0090 Inadequate Budget Provision in Adult Social Care CRC0044 Budget
	Likely	GREEN	AMBER	AMBER	RED ECCP0003 Business continuity/data recovery HS0011 Legislative and/or regulatory breaches CRB/SP0014 EU Procurement Legislation CRC/AI0011 Failure to guard against material fraud or misuse of resources PP0008 Equality Act 2010
	Unlikely	GREEN	GREEN	AMBER	AMBER
	Remote	GREEN	GREEN	GREEN	GREEN
		Minor	Significant	Serious	Major
		Impact			

## Detailed Risk Information

Controlled Rating	Uncontrolled Rating	Risk Ref	Details
12	16	SRR0073	Implementation of the Welfare Reform Act (WRA) 2012 results in a negative impact on Council services, finances and reputation.
12	16	CAA0090	Unavoidable rise in demand for Adult Social Care resulting in a shortfall in the budget coupled with Council's 25% saving over 2011-14
9	12	ECCP0003	Ineffective business continuity arrangements resulting in an inability to provide 'business as usual' services.
9	12	HS0011	Failure to manage property compliance could lead to unsafe premises for staff, residents, service users and visitors and enforcement action against the Council
9	12	CAC0057	Insufficient funding to pay for a school building programme to address an increased birth rate resulting in insufficient school places.
9	12	CAA0093	Key supplier failure puts delivery of social care by councils contracted providers at risk
9	12	DMIA0001	Failure to comply with the statutory requirements of DPA (1998) leading to complaints, enforcement notices, prosecution and adverse publicity.
9	16	CRC0044	Budget proposals fail to deliver the required savings resulting from ongoing reductions in Government funding, impacting on the ability to meet increasing service demands.
8	12	CRC/AI0011	Inability to detect internal/external fraud resulting in a negative impact on Council finances.
8	16	EC0049	Environmental Service Contract (ESC) poor performance results in a negative impact on Council finances and reputation.
8	12	CAC0055	Failure in Children's Safeguarding and Support Services resulting in death or serious injury to a child in the care of Ealing Council.
8	12	CAA0092	Risk of Serious harm or abuse to Vulnerable Adults resulting in death or serious injury to an adult whilst in the care of Ealing Council.
8	12	CAA0076	Failure to attract sufficient BCF funding results in a major impediment to Health and Social Care integration and delay in implementation of the Care Act.
6	12	CRB/SP0014	Failure to comply with EU Procurement regulations, Cabinet Office returns or Council constitution may impact on reputation or have financial consequences through the risk of challenge.
6	12	PP0008	Failure to comply with the Equality Act 2010 resulting in challenge to service changes and potential fines/penalties.