

2015/16 Indicative Internal Audit Plan

No.	Review Area	Risk Link	Indicative Quarter for Completion
Chief Executive / Cross Cutting Reviews			
1	Freedom of Information / Data Protection Compliance	DMIA0001, Corp Risk MC0012 & CAC0050	Q3
2	Partnership Protocol / Governance	Corp Risk PP0005	Q4
3	Delivery of Corporate Savings Programme - Incorporating Demand Management initiatives	Corp Risk CRC0044, CAA00960 and	Q2
4	Statutory Compliance	Compliance Audit	Q2
5	Contract and Contractor Performance Management - Corporate Standards	CRC0044, ECC/CB0025, ECC/CB0023	Q1
6	Capital Programme Governance and Delivery	ECCU/M0006	Q3
7	Budget Management and Control	CRC0044	Q2
8	Managing the Establishment	CRC0046	Q3
Corporate Resources Directorate			
9	Financial Governance including Financial Procedure Rules	CRC0044	Q1
10	Key Financial Systems Reviews:		
11	Council Tax Reduction Scheme		
12	Payroll - Follow Up and Transformation		
13	Council Tax		
14	NNDR		
15	Corporate Collections		
16	Treasury Management		
17	Pensions Administration		
18	Cash Receipting / Cash Kiosk - Follow up		
19	Social Services Income / Debtors		
19	Non Pay Expenditure / Creditors		
20	Facilities Management Arrangements	CRB/PS0037	Q1
21	Corporate Procurement and Supply Chain Management including Supplier Resilience	CRB/PS0008, CAA0087 & Caa0093	Q3
22	Whistleblowing		Q2
Children and Adults Directorate			
23	Health Visiting Transition - Adults and Children	PUS0031	Q1
24	Partnership Management and Governance - Brighter Futures Programme		Q3
25	School Places	CAC0057 & CAS0006	Q2
26	Safeguarding Children	CAC0055	Q1
27	Care Act Implementation - incorporating Safeguarding of Adults	CAA0092	Q3
28	Section 75 Agreement Governance - Better Care Fund	CAA0076 / CAA0071	Q4
29	Resource Allocation System - Personal Budgets	CAA0090	Q3
30	Heller House Action Plan Implementation	Directorate Request	Q2
31	Ofsted - Pre-inspection review	CAC0055	Q1
32	Schools Themed Audits (25 Schools) to include Schools Causing Concern and School Balances	Cyclical Reviews	Q1 & Q3
Environment and Customer Services			
33	Community Centres	ECCO/M0004	Q4
34	Welfare Reform Act	ECC/CB0025	Q2
35	Ringo Parking Service		Q4
36	Housing Benefits	ECC/CB0025	Q3
37	Library Services Contract	Directorate Request	Q3
38	Blue Badge / Freedom Pass Administration	Directorate Request	Q1
39	Greenford Depot - Stock and Stores	Directorate Request	Q1

Regeneration and Housing			
40	Temporary Accommodation - Annexe Housing, LINT and Rent Collections process	RHH0029 & RHH0008	Q2
41	Housing and Homeless Strategy	Directorate Request	Q3
42	Housing Rent and Arrears Collection - Follow up	Directorate Request	Q4
43	Planning Applications Forensic Review	RHP0025 / RHB/P0010	Q3
44	Building Control	RHB/B0003	Q4
45	Disabled Facilities Grants	RBH/R0002	Q4
46	Broadway Living	Director Request	Q2
IT related Reviews			
47	I-Trent Project including E-expenses	Directorate Request	Q1
48	Agresso Finance System Upgrade	CRB/ID0014	Q1 - Q3
49	IT Strategy / ICT Infrastructure	CRB/ID0014 & CRB/ID0009	Q2
50	ICT Project Management and Delivery	CRB/ID0010	Q2
51	Data Centre Environmental Controls	CRB/PS0025	Q4
52	iDox System Implementation	RHB/P0001; RHB/P0009 & RHB/SE0001	Q1
Contingency Reviews / Follow Up			
53	Mortlake Cemetery		Q1
54	Internal Audit Follow-up Activity		
	General Contingency		
Management			
	Strategic Audit Partner Management		Q1,2,3,4
	General Advice / Management - In-house		Q1,2,3,4

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